PATENT Customer No. 22,852 Attorney Docket No. 09641.0011-00000

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Stage of International Application No. PCT/GB2004/004401 of: SMITH et al.	)
Similar st di.	) Group Art Unit: 1632
Application No.: 10/576,358	) Examiner: To be assigned
PCT Application Filed: 15 October 2004	)
§ 371 date: 16 October 2003	) Confirmation No.: 1585
For: IMPROVED CONTROL OF ES CELL SELF RENEWAL AND LINEAGE SPECIFICATION, AND MEDIUM THEREFOR	) ) ) )

**ATTN PCT Legal** 

(via EFS web)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

# REQUEST FOR CORRECTED FILING RECEIPT

We are forwarding herewith a copy of a Filing Receipt for the above-identified patent application.

The first error is that the filing or 371 date is incorrectly listed as **August 11**, **2006**. The correct date is **January 24**, **2007**. We enclose a copy of the Electronic Acknowledgement Receipt which indicates the submission of the Declaration and Power of Attorney, and the subsequent completion of Missing Requirements, on January 24, 2007. This correction is shown in ink on the attached copy.

PATENT Customer No. 22,852 Attorney Docket No. 09641.0011-00000

The second error is that the third foreign priority application is incorrectly listed as United Kingdom **03250073**. The correct application number is United Kingdom **0325007.3**. This correction is also shown in ink on the attached copy.

It is respectfully requested that a corrected Filing Receipt be issued as soon as possible.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: 10 May 2007

Nicole L. M. Valtz Reg. No. 47,150

Electronic Acknowledgement Receipt			
EFS ID:	1461702		
Application Number:	10576358		
International Application Number:			
Confirmation Number:	1585		
Title of Invention:	Control of es cell self renewal and lineage specification, and medium therefor		
First Named Inventor/Applicant Name:	Austin Gerard Smith		
Customer Number:	22852		
Filer:	Nicole Valtz		
Filer Authorized By:			
Attorney Docket Number:	09641.0011-00000		
Receipt Date:	24-JAN-2007		
Filing Date:			
Time Stamp:	14:27:40		
Application Type:	U.S. National Stage under 35 USC 371		

# Payment information:

Submitted with Payment	no
------------------------	----

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
1	Oath or Declaration filed	DecIPOA.PDF	337439	no	
Warnings:					

Information:	
Total Files Size (in bytes):	337439

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

# National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	TOT CLMS	IND CLMS
10/576,358	<del>-08/11/2006</del>	1632	3680	09641.0011-00000	 37	12

1/24/2007

**CONFIRMATION NO. 1585** 

22852 FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER

WASHINGTON, DC 20001-4413

**FILING RECEIPT** 0000023502798\*

APR 2 7 2007

Date Mailed: 04/25/2007

Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE. NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

# Applicant(s)

Austin Gerard Smith, Edinburgh, UNITED KINGDOM; Qi-Long Ying, Edinburgh, UNITED KINGDOM; Jennifer Nichols, Edinburgh, UNITED KINGDOM;

Power of Attorney: The patent practitioners associated with Customer Number 22852

# Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB04/04401 10/15/2004

#### Foreign Applications

UNITED KINGDOM 0324270.8 10/16/2003 UNITED KINGDOM 0324378.9 10/17/2003 UNITED KINGDOM 03250073 10/27/2003 0325007.3

If Required, Foreign Filing License Granted: 04/23/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/576,358

Projected Publication Date: 08/02/2007

Non-Publication Request: No

all allower in

# Early Publication Request: No

Title

Control of es cell self renewal and lineage specification, and medium therefor

# **Preliminary Class**

435

# PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

# **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The

date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).